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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Pranela Rameshwar

Application No./Patent No.: 10/039,272

Filed/Issue Date: October 20, 2001

Entitled: Hematopoietic Growth Factor Inducible Neurokinin-1 Gene

University of Medicine and Dentistry of NJ, a body corporate and politic of the State of New Jersey

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
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The extent (by, percentage) of its ownership interest is _____ %
in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 012949, Frame 0777, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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(NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

BENISE MULKERN

VICE PRESIDENT FOR FINANCE AND TREASURER

6/22/04
Date

Typed or printed name

Signature

Telephone number

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETE D FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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AUGUST 05, 2002

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RECORDATION DATE: 05/28/2002

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BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
RAMESHWAR, PRANELA

DOC DATE: 04/17/2002



ASSIGNEE:
UNIVERSITY OF MEDICINE AND
DENTISTRY OF NEW JERSEY
335 GEORGE STREET
NEW BRUNSWICK, NEW JERSEY 08901

SERIAL NUMBER: 10039272
PATENT NUMBER:

FILING DATE: 10/20/2001
ISSUE DATE:

STEVEN POST, EXAMINER
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FORM PTO-1585 1-31-02		06-06-2002		U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office	
Docket No. 267/033		102113765		To the Honorable Commissioner of Patents at	
1. Name of conveying party(ies): Praneta RAMESHWAR Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		2. Name and address of receiving party: Name: <u>University of Medicine and Dentistry of New Jersey</u> Internal Address: _____ City: _____ State: _____ Zip: _____ Street Address: <u>335 George Street</u> City: <u>New Brunswick</u> State: <u>NJ</u> Zip: <u>08901</u> Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____ Execution Date: <u>April 17, 2002</u>		4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: _____ A. Patent Application No(s): <u>10/038,272</u> B. Patent No(s): _____ Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>Michael J. Wise</u> Internal Address: <u>LYON & LYON LLP</u> <u>633 West Fifth Street, Suite 4700</u> <u>Los Angeles, CA 90071-2086</u>  <u>22249</u>		6. Total number of applications and patents involved: <u>1</u> 7. Total fee (37 CFR 3.41): <u>\$ 40.00</u> <input type="checkbox"/> Enclosed <input type="checkbox"/> Charge this Deposit Account if any additional fee is required 8. Deposit Account Number: <u>12-2475</u>			
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9. Statement and signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.  Michael J. Wise, Reg No. <u>34047</u> Date: <u>5/14/02</u> Total number of pages including cover sheet: <u>3</u>					
OMB No. 0651-0011 (exp. 4/94) Do not detach this portion Mail documents to be recorded with required cover sheet information to: Director — U.S. Patent and Trademark Office Box Assignments Washington, D.C. 20231 06/05/2002 LWELLER 00000096 122475 10639272 01 FC:581 40.00 CH Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project, (0651-0011), Washington, D.C. 20503					

LA-234353.1

Patent
Attorney Docket: 267/033

ASSIGNMENT OF PATENT APPLICATION

WHEREAS, I, PRANELA RAMESHWAR, a citizen of THE UNITED STATES OF AMERICA, (hereinafter referred to as "ASSIGNOR"), have invented and own a certain invention entitled Hematopoietic Growth Factor Inducible Neurokinin-1 Gene for which application for Letters Patent of the United States of America has been filed on October 20, 2001, assigned Serial No. 10/039,272; and

WHEREAS, UNIVERSITY OF MEDICINE AND DENTISTRY OF NEW JERSEY, a body corporate and politic of the State of NEW JERSEY and having its principal place of business at 335 George Street, Suite 3200, New Brunswick, New Jersey 08901 (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring the exclusive right, title and interest in, to and under said invention and in, to and under any Patent or similar legal protection to be obtained therefor in the United States of America, its territorial possessions and in any and all countries foreign thereto.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR hereby sells, assigns, transfers and sets over unto the said ASSIGNEE, its successors and assigns, the full and exclusive right, title and interest to said invention and to all Letters Patent or application or similar legal protection, not only in the United States and its territorial possessions, but in all countries foreign thereto to be obtained for said invention by said application, and to any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in the United States or a foreign country for the full term or terms for which the same may be granted, including all priority rights under the International Convention; and ASSIGNOR hereby authorizes and requests the United States Commissioner of Patents and Trademarks, and any officials of foreign countries whose duty it is to issue patents or any legal

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equivalent thereof, to issue said patents to ASSIGNEE, its successors and assigns, in accordance with this Assignment.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Agreement;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents in the United States or in any foreign country, which may be necessary or desirable to carry out the purposes thereof.

WITNESS my hand at Newark, NEW JERSEY,
this 17 day of April, 2002.

Pranela Rameshwar
PRANELA RAMESHWAR

STATE OF NEW JERSEY)

COUNTY OF Essex) ss

On April 17, 2002 before me, PRANELA RAMESHWAR, personally appeared
PRANELA RAMESHWAR

☒ personally known to me - OR - ☐ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

[Signature]
Notary Public in and for said County and State

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